

RCE/1/2002 + 18

# REQUEST

FOR

## CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/699,136
Filing Date	October 27, 2000
First Named Inventor	Daniel Santi
Art Unit	1652
Examiner Name	Kerr, Kathleen M.
Attorney Docket Number	010025.01

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

### 1. Submission required under 37 CFR 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

### 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

### 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) 12/03/2002 AWONDAF1 00000169 09699136
- iii. ☐ Other 01 FC:2001 370.00 00
- b. ☒ Check in the amount of \$ 370.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

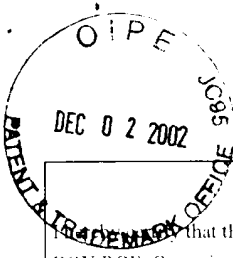
Name (Print/Type)	José J. Aparicio	Registration No. (Attorney/Agent)	48,847
Signature	<i>José J. Aparicio</i>	Date	November 25, 2002

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	José J. Aparicio	Date	November 25, 2002
Signature	<i>José J. Aparicio</i>		

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PATENT  
Docket No. 010025.01

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BOX RCE, Commissioner for Patents, Washington, D.C. 20231, on November 26, 2002.

*J. Aparicio*  
José J. Aparicio

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel SANTI et al.

Serial No.: 09/699,136

Filing Date: October 27, 2000

For: HETEROLOGOUS PRODUCTION OF  
POLYKETIDES

Examiner: Kathleen M. Kerr, Ph.D.

Group Art Unit: 1652

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**REQUEST FOR CONTINUED EXAMINATION AND PRELIMINARY AMENDMENT  
UNDER 37 CFR 1.114**

Box RCE  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Following the Final Office Action mailed on July 26, 2002 of the above captioned application, Applicants hereby submit the enclosed Request for Continued Examination under 37 CFR 1.114. Applicants timely submit the present request along with a Preliminary Amendment on November 26, 2002 and respectfully request a one-month extension of time for which the \$55 fee and \$370 RCE fee is enclosed in the form of a check.

Applicants also submit a Revocation of Power of Attorney, a new Power of Attorney, and a Statement under 37 CFR 3.73(b) and assignment documents. Applicants respectfully request entry of the present Preliminary Amendment, and early consideration of their RCE application.

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